



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/082,923	02/26/2002	Yoshiki Yamauchi	73620

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 60603-3406



CONFIRMATION NO. 4057
FORMALITIES LETTER



OC000000007692857

Date Mailed: 03/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

J. Robinson

Customer Service Center

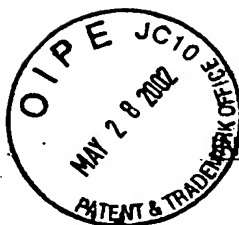
Initial Patent Examination Division (703) 308-1202

05/30/2002 HBIZUNES 00000051 061135 10082923 T 2 - COPY TO BE RETURNED WITH RESPONSE

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MP #3

PATENT

Attorney Docket No. 73620

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yamauchi et al.

Appln No.: 10/082,923

Filed: February 26, 2002

For: **OPTICAL MULTIPLEXING
CIRCUIT AND OPTICAL
MULTIPLEXER**

Group Art
Unit: 3662

Examiner: Not Yet Assigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

5/22/02
Date

Kenneth H. Samples

Kenneth H. Samples
Registration No. 25,747
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS

Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated 3/22/02, enclosed are:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ An executed Declaration for Patent Application, including Power of Attorney.
- ☒ A certified copy of Japanese Priority Document No. 2001-053053.
- ☐ _____ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal
- ☒ An Assignment of the invention to NTT ELECTRONICS CORPORATION and NIPPON TELEGRAPH AND TELEPHONE CORPORATION, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ☐ A check in the amount of \$ _____ to cover the fee for recording the assignment.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment. Charge \$ 40.00 to Deposit Account No. 06-1135. **Transaction No. 3876.**

☒ Fee Calculation:

Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	
Surcharge under 37 C.F.R. § 1.16(1)	\$ 50.00	
<input type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	
Independent Claims	$\frac{\quad}{\quad} - \frac{3}{\quad} = \frac{0}{\quad} \times \$ 84.00 = \$$	0.00
Total Claims	$\frac{\quad}{\quad} - \frac{20}{\quad} = \frac{0}{\quad} \times \$ 18.00 = \$$	0.00
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	\$ 130.00
<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	
Total Filing and/or Surcharge Fee		\$ 130.00

☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to: \$ 0.00

☐ A check in the amount of \$_____ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ 130.00 to Deposit Account No. 06-1135. **Transaction No. 3875.**

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

May 22, 2002
Date

Kenneth H. Samples
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Registration No. 25, 747

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